

# WE HAVE COME A LONG WAY

## DATA TRANSPARENCY AND PHARMACEUTICAL REGULATION IN EUROPE

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# TRANSPARENCY: SPONSORS & INVESTIGATORS

## CLINICAL TRIAL TRANSPARENCY

### **TRIAL REGISTRATION**

All clinical trials  
are registered  
before they start.

### **SUMMARY RESULTS POSTING**

Headline results  
are made public  
within 12  
months.

### **FULL TRIAL REPORTS**

Detailed trial  
findings are  
proactively  
disclosed.

### **ACADEMIC PUBLICATION**

Trial results are  
published.

### **INDIVIDUAL PARTICIPANT DATA SHARING**

Trial data is  
effectively and  
vigilantly shared.

Source: Transparency International, Cochrane, CRIT and TransparaMED (2017)  
Clinical Trial Transparency: A guide for policy makers



# WE HAVE COME A LONG WAY



- Policy initiatives have emerged over the course of the last 10 yrs. thanks to **concerted effort** on the part of civil society groups, professional organizations, academic journals, regulators, lawmakers, and individuals.
- The political significance of this development should not be underestimated since it shows that – with enormous determination, persistence, and vigilance – **a loose coalition of actors can achieve major policy gains.**





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*Joint Letter by the European Commission, EMA and HMA*

June 2019

**LETTER TO STAKEHOLDERS REGARDING THE REQUIREMENTS TO PROVIDE RESULTS FOR  
AUTHORISED CLINICAL TRIALS IN EUDRACT**

**“Underreporting in general and selective reporting of trials with positive outcome...**compromise the economic and scientific efficiency of clinical research.** In addition, unreported clinical trials with unfavourable outcome can have **negative public health implications.**”**



## PUBLICATION BIAS IN 60'S AND 70'S

TABLE III—*Publishing status of controlled trials included in applications to licensing authorities. (Figures are numbers (%) of trials)*

	Psychotropic drugs			
	1974 and 1975		All years*	
	Finland	Sweden	Finland	Sweden
No of trials .. .. .	234	108	341	225
Published in journal .. .. .	115 (49)	59 (55)	177 (52)	88 (39)
Published elsewhere .. .. .	8 (4)	3 (3)	24 (7)	16 (7)
Not published .. .. .	96 (41)	42 (39)	116 (34)	99 (44)
Only summary available .. .. .	14 (6)	4 (4)	24 (7)	25 (10)

\*Years studied were 1965, 1970, 1974, and 1975.

Hemminki. E (1980) *British Medical Journal*

**Approx. 40% of trials submitted to regulators  
went completely unpublished**

# TRIALS REGISTRY: PROPOSAL IN 1986

**Journal of Clinical Oncology®**  
An American Society of Clinical Oncology Journal

## Publication Bias: The Case for an International Registry of Clinical Trials

By Robert John Simes

A problem in evaluating different therapies from a review of clinical trials is that the published clinical trial literature may be biased in favor of positive or promising results. In this report, a model is proposed for reviewing clinical trial results which is free from publication bias based on the selection of trials registered in advance in a registry. The value of a registry is illustrated by comparing a review of *published* clinical trials located by a literature search with a review of *registered* trials contained in a cancer trials registry. Two therapeutic questions are examined: (1) the survival impact of initial alkylating agent (AA) v combination chemotherapy (CC) in advanced ovarian cancer, and (2) the survival impact of AA/prednisone v CC in multiple myeloma. In advanced ovarian cancer, a pooled analysis of published clinical trials demonstrates a significant survival advantage for combination chemotherapy (median survival ratio of CC to AA, 1.16;  $P = .02$ ). However, no significant differ-

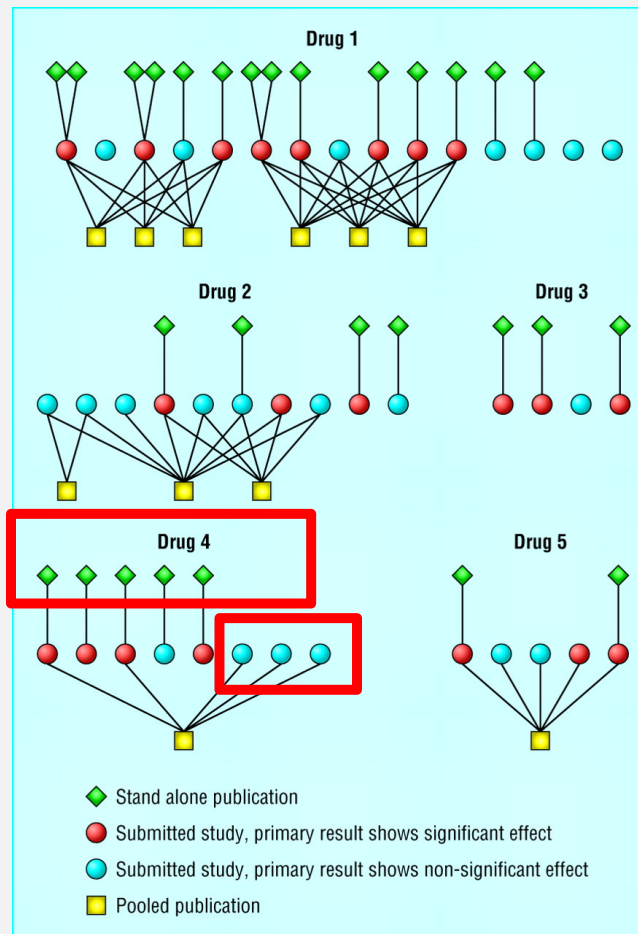
ence in survival is demonstrated based on a pooled analysis of registered trials (median survival ratio, 1.05;  $P = .25$ ). For multiple myeloma, a pooled analysis of published trials also demonstrates a significant survival advantage for CC (median survival ratio, 1.26;  $P = .04$ ), especially for poor risk patients (ratio, 1.66;  $P = .002$ ). A pooled analysis of registered trials also shows a survival benefit for patients receiving combination chemotherapy (all patients,  $P = .06$ ; poor risk,  $P = .03$ ), but the estimated magnitude of the benefit is reduced (all patients: ratio, 1.11; poor risk: ratio, 1.22). These examples illustrate an approach to reviewing the clinical trial literature, which is free from publication bias, and demonstrate the value and importance of an international registry of all clinical trials.

*J Clin Oncol* 4:1529-1541. © 1986 by American Society of Clinical Oncology.

**Proposed international registration of all clinical trials** after he showed that **conclusions about treatments for ovarian cancer and multiple myeloma differed depending on whether the results of unpublished trials had been taken into account.**



## PUBLICATION AND REPORTING BIAS IN THE 90'S

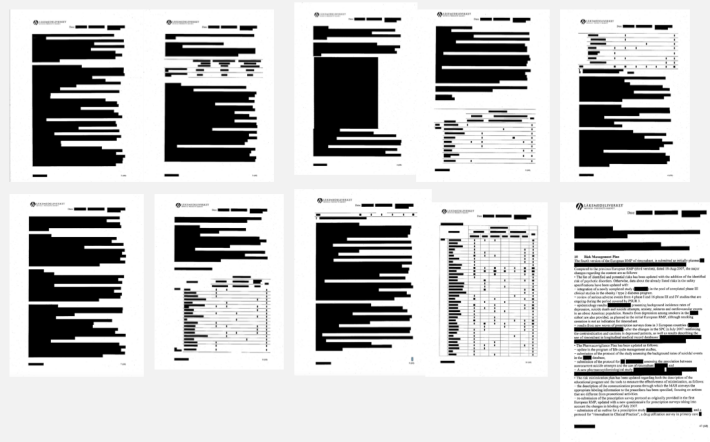
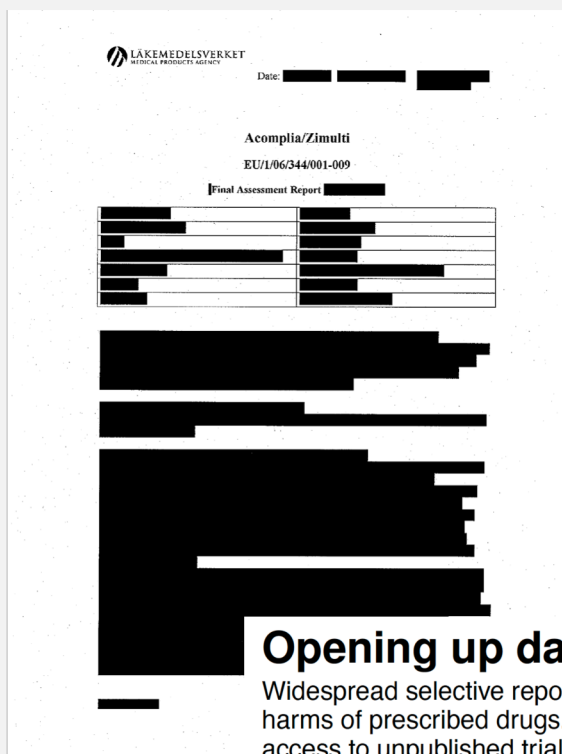


“Without **access to all studies** (positive as well as negative, published as well as unpublished) and without **access to alternative analyses** (intention to treat as well as per protocol), any attempt to recommend a specific drug is likely to be based on **biased evidence**.”

**Evidence b(i)ased medicine**

Melander. H, et al. (2003) *British Medical Journal*

# CHALLENGING REGULATORY SECRECY IN THE EU (2007-2010)



BMJ

BMJ 2011;342:d2686 doi: 10.1136/bmj.d2686

## Opening up data at the European Medicines Agency

Widespread selective reporting of research results means we don't know the true benefits and harms of prescribed drugs. **Peter Gøtzsche** and **Anders Jørgensen** describe their efforts to get access to unpublished trial reports from the European Medicines Agency

Peter C Gøtzsche *professor*, Anders W Jørgensen *PhD student*

Nordic Cochrane Centre, Rigshospitalet and University of Copenhagen, Dept 3343, Blegdamsvej 9, DK-2100 Copenhagen Ø, Denmark





# TURNING POINT I



European Ombudsman

**Ombudsman: European Medicines Agency should disclose clinical reports on anti-obesity drugs**

Press release no. 13/2010 - 07/06/2010

“The Ombudsman therefore found that EMA's refusal to grant access to the requested documents constituted an **instance of maladministration.**”

30 November 2010  
EMA/718259/2010  
Press Office

Press release

**European Medicines Agency widens public access to documents**

Policy on access to documents also sets out new approach for proactive disclosure of documents

## TURNING POINT II



### The campaign:

- Facilitated the first ever Cochrane review (2009-2014) based entirely on clinical study reports and regulatory data.
- Led to changes in transparency by pharmaceutical companies and triggered inquiries at the national and international level.
- Heightened awareness of the importance of independent access to underlying trial data.



## ALL TRIALS CAMPAIGN (SINCE 2013)

- All planned trials to be **registered**, with a summary of the trial protocol, before the first participant is recruited
- A **summary of results** to be made publicly available where the trial was registered, within 1 year of completion
- **Full reports** made publicly available by regulators and industry

The AllTrials petition has been signed by 95439 people and 747 organisations

## FINALLY: PUBLIC CLINICAL TRIALS REGISTRY (2014)

The year 2014 saw the adoption of a new EU Regulation that requires **clinical trials to be registered in a publicly accessible database before the trial starts and mandates publication of summary results within a year after completion**

# GOOD VS. POOR REPORTING IN EU

Table 4 Sponsors with highest proportion of trials reported

Sponsor	Total trials on EUCTR	Due trials	Due trials with results	% reported
Gilead Sciences	213	31	31	100.0
Chiesi Farmaceutici	94	37	37	100.0
CSL Behring	72	25	25	100.0
Alcon	71	20	20	100.0
Genentech	63	18	18	100.0
Vertex Pharmaceuticals	62	19	19	100.0
Daiichi Sankyo	62	12	12	100.0
Almirall	53	37	37	100.0
Ferring Pharmaceuticals	53	19	19	100.0
Sanofi	573	111	110	99.1
Bayer	274	72	71	98.6
Johnson and Johnson	424	108	106	98.1
Novo Nordisk	202	52	51	98.1
Servier Laboratories	134	48	47	97.9
Novartis Vaccines	142	44	43	97.7
Abbvie	179	33	32	97.0
H Lundbeck	76	29	28	96.6
Astrazeneca	520	141	136	96.5
Otsuka	58	27	26	96.3
Amgen	244	51	49	96.1
Pfizer	744	168	161	95.8
Takeda	172	47	45	95.7
Astellas	137	23	22	95.7
Bristol-Myers Squibb	314	36	34	94.4
Eisai	113	13	12	92.3
Boehringer Ingelheim	340	90	83	92.2
Biogen	103	35	32	91.4
Merck	662	164	146	89.0
GlaxoSmithKline	1060	293	260	88.7

Table 5 Sponsors with highest proportion of trials unreported

Sponsor	Total trials on EUCTR	Due trials with results	Due trials	% reported
Hospitals of Paris	194	0	7	0.0
Karolinska Institutet	189	0	21	0.0
Radboud University	178	0	3	0.0
Charité-Universitätsmedizin Berlin	177	0	63	0.0
Erasmus University	161	0	3	0.0
University of Amsterdam	153	0	4	0.0
Agostino Gemelli University Polyclinic	142	0	11	0.0
Ghent University	126	0	19	0.0
VU University Medical Centre	126	0	3	0.0
Utrecht University	122	0	6	0.0
AOU di Bologna, Policlinico S.Orsola-Malpighi	120	0	1	0.0
Helsinki University	101	0	12	0.0
Université libre de Bruxelles	85	0	3	0.0
Vita-Salute San Raffaele University	83	0	5	0.0
Hospices Civils de Lyon	81	0	3	0.0
Heidelberg University	75	0	17	0.0
University of Oslo	72	0	1	0.0
University of Munich (Ludwig-Maximilians)	71	0	26	0.0
Maastricht University	61	0	2	0.0
Fundació Clínic per a la Recerca Biomèdica	60	0	1	0.0
University of Cologne	57	0	18	0.0
Gothenburg University	56	0	6	0.0
Uppsala University/Uppsala County Council	55	0	6	0.0
Manchester University NHS Foundation Trust	54	0	13	0.0

Goldacre B, DeVito NJ, Heneghan C, et al. (2018) *British Medical Journal*



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#### **1. Reporting is a legal obligation**

“As of July 2014, result-related information should be posted within one year (6 months for paediatric trials) after the end of a clinical trial. The submission of the results to EudraCT is the **direct responsibility of the sponsors.**”

#### **2. Non-commercial sponsors lag behind**

“As of April 2019...**31.8%** (5,855) of the trials have **missing results**. This is an encouraging trend, though there is still significant progress to be made. In particular, the reporting compliance for non-commercial sponsors is much lower than for commercial sponsors (77.2% for commercial sponsors vs **23.6% for non-commercial sponsors**).”

# THANK YOU & ACKNOWLEDGMENTS

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- The Faculty of Medicine, Lund University
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- Tom Jefferson, University of Oxford, UK; Nordic Cochrane Centre, Denmark



**New book** edited by *Katherine Fierlbeck, Janice Graham, and Matthew Herder*

**Chapter on “Data Transparency and Pharmaceutical Regulation in Europe: Road to Damascus, or Room without a View?”**

***Courtney Davis, Shai Mulinari, and Tom Jefferson***